



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Isao TSURUMA

Appln. No. Not Yet Assigned

Group Art Unit: Not Yet Assigned

Confirmation No.:Not Yet Assigned

Examiner: Not Yet Assigned

Filed: October 23, 2001

For: WAVELENGTH CONVERTING ELEMENT AND METHOD OF MANUFACTURE

THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT Attorney Docket No. Q66788

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

Japanese Patent Application Laid-Open No. 9-218431 is disclosed on page 2 in the specification of the above-identified application.

Japanese Patent Application Laid-Open Nos. 9-281536 and 11-72810 are disclosed on page 3 in the specification of the above-identified application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: October 23, 2001

Form PTO-1449 (Rev. 2-32) U.S. Department of Commerce Patent & Trademark Office			Atty. Docket No.	Serial N	Serial No.:		
			Q66788	Confirmation No.: Not Yet Assigned			
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Isao TSURUMA				
			Filing Date: October 23, 2001	Group: Not Ye	Group: Not Yet Assigned		
		U.S. PATE	ENT DOCUMENTS				
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	9-218431	8/19/97	Japan	G02F	1/37		
	9-281536	10/31/97	Japan	G02F	1/37		
	11-72810	3/16/99	Japan	G02F	1/37		
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